

A Core Language for Extended Pattern Matching and Binding Boolean Expressions

Arthur Charguéraud, Yanni Lefki

Inria Camus & University of Strasbourg, CNRS, ICube



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Motivation

Story of pattern matching:

- ▶ introduced with ML in the 1970's
- ▶ exhaustiveness checking in the 1980's
- ▶ efficient compilation scheme in the late 1990's
- ▶ first-class patterns in Racket/Scheme the 1990's
- ▶ Haskell views and F# active patterns in the mid-2000's

...still ongoing!

- ▶ Rust's construct: `if let PAT = EXPR { BODY }`, in RFC from 2014
- ▶ *Ultimate Conditional Syntax* by Cheng and Parreaux, OOPSLA'24

This work: aims to provide a yet slightly simpler presentation of a programming language that includes all the desirable features.

Feature focus #1: smart destructors

Smart constructors

```
type trm = {  
  desc : desc;  
  loc : location option; (* from parser *)  
  typ : typ option; } (* from typer *)
```

```
and desc =  
  | Trm_var of var  
  | Trm_bool of bool  
  | Trm_if of trm * trm * trm  
  | Trm_and of trm * trm  
  ...
```

(* To construct a term in a code transformation, we would write e.g.:
{ desc = Trm_if (t1,t2,t3); loc = None; typ = None }.
Instead, one can use smart constructors such as [trm_if] and [trm_and]. *)

```
let trm_make (d : desc): trm =  
  { desc = d; loc = None; typ = None }  
  
let trm_if (t1 : trm) (t2 : trm) (t3 : trm) : trm =  
  trm_make (Trm_if (t1,t2,t3))  
let trm_and (t1 : trm) (t2 : trm) : trm =  
  trm_make (Trm_and (t1,t2))
```

Benefits of smart constructors

Smart-constructors offer a clean API for building AST terms, based solely on functions, not exposing the constructors.

Say we decide to remove `Trm_and` and encode this construct using a `if`:

```
and desc =  
  | Trm_var of var  
  | Trm_bool of bool  
  | Trm_if of trm * trm * trm  
  (* | Trm_and <-- removed *)  
  
  (* updated version of the smart constructor for [trm_and] *)  
let trm_and (t1 : trm) (t2 : trm) : trm =  
  trm_if (t1, t2, trm_bool false)
```

No need to modify the client code, which continues to call `trm_and`.

What about AST deconstruction?

```
(* Client function to recognize terms of the form [t1 && t2 && t3] *)  
  
let trm_and_3_inv (t : trm) : (trm * trm * trm) option =  
  begin match t.desc with  
  | Trm_and (t1, { desc = Trm_and (t2,t3); _ }) -> Some (t1,t2,t3)  
  | _ -> None  
  end  
  
(* This client code mentions the constructor [Trm_and] *)
```

Such an explicit manipulation of constructors suffers from:

1. **lack of abstraction**

→ e.g., if we remove `Trm_and`, all the client code needs to change

2. **lack of conciseness**

→ compared with the construction: `trm_and t1 (trm_and t2 t3)`

Smart deconstructors (view/active patterns)

```
(* [REVISITED] Function to recognize terms of the form [t1 && t2 && t3].
   Inside patterns, [trm_and] is short for [Pattern.trm_and] *)
let trm_and_3_inv (t : trm) : (trm * trm * trm) option =
  match t with
  | trm_and t1 (trm_and t2 t3) -> Some (t1,t2,t3)
  | _ -> None

(* Definition of the smart deconstructors *)
let Pattern.trm_if (t : trm) : (trm * trm * trm) option =
  match t.desc with
  | Trm_if t1 t2 t3 -> Some (t1,t2,t3)
  | _ -> None

let Pattern.trm_bool (t : trm) : bool option =
  match t.desc with
  | Trm_bool b -> Some b
  | _ -> None

(* [Pattern.trm_and], assuming [trm_and] is encoded using a conditional. *)
let Pattern.trm_and (t : trm) : (trm * trm) option =
  match t with
  | trm_if t1 t2 (trm_bool false) -> Some (t1,t2)
  | _ -> None
```

Feature focus #2: tests that export bindings

Motivation for binding-boolean-expressions (BBEs)

Example illustrating redundant accesses to a hashtable:

```
match t with
| Some k when Hashtbl.mem tbl k ->
    let v = Hashtbl.get tbl k in
    f v
| None ->
    a_big_expression
```

The algorithmically efficient code is unpleasant:

```
let cont () = (* need to factorize the continuation *)
    a_big_expression in
match t with
| Some k ->
    begin match Hashtbl.get_opt tbl k with
    | Some v -> f v
    | None -> cont()
    end
| None -> cont()
```

BBEs at play in when-clauses and conditionals

Same example, revisited:

```
match t with
| Some k when Hashtbl.get_opt tbl k is Some v -> f v
| None -> a_big_expression
```

The construct “t is p” is a *binding-boolean-expression*: it computes a boolean value *and* binds pattern variables in case the pattern matches.

BBEs can appear in conditionals. The code above could be written:

```
if (t is Some k) && (Hashtbl.get_opt tbl k is Some v)
then f v
else a_big_expression
```

Related work: example taken from Rust RFC 2497-2025-06-18.

```
if let Some((fn_name, aft_name)) = s.split_once("(")
&& !fn_name.is_empty()
&& is_legal_ident(fn_name)
&& let Some((args_str, "")) = aft_name.rsplit_once(")") {
    return fn_name + args_str;
}
```

BBEs at play in while loops

The standard pattern:

```
while not (Queue.empty q) do
  let x = Queue.pop q in
  ...
done
```

becomes:

```
while Queue.pop_opt q is Some x do
  ...
done
```

Merge-sort pattern:

```
while Stack.top_opt s1 is Some x1
  && Stack.top_opt s2 is Some x2 do
  if x1 <= x2 then begin
    ignore (Stack.pop s1);
    Stack.push qr x1;
  end else begin
    ignore (Stack.pop s2);
    Stack.push qr x2;
  end
done;
assert (Stack.empty s1 || Stack.empty s2);
```

Formalization

Syntax of terms

A λ -calculus with a switch construct.

t, f, g	$:=$		x	variable
			$\lambda(x_1, \dots, x_n).t$	λ -abstraction
			$f(t_1, \dots, t_n)$	application
			let $x = t_1$ in t_2	let-binding
			switch c_1 ... c_n	branching
c	$:=$		case b then t	branch of a switch

where b is a *binding-boolean expression*.

Syntax of encoded term constructs

Encoding for **match**:

match t with	$\implies$	let $x = t$ in
$ p_1 \rightarrow t_1$		switch
$ p_2 \rightarrow t_2$		$ \text{case } (x \text{ is } p_1) \text{ then } t_1$
		$ \text{case } (x \text{ is } p_2) \text{ then } t_2$

Encoding for **if**, where b binds variables in t_1 :

if b then t_1 else t_2	$\implies$	switch
		$ \text{case } b \text{ then } t_1$
		$ \text{case true then } t_2$

Note: due to when-clauses and possibly-impure smart destructors, subpatterns may have side effects, hence the evaluation order matters a lot.

Syntax of binding-boolean-expressions

$b :=$		t is p	pattern-matching	binds $\mathcal{B}(p)$
		b_1 and b_2	conjunction (same as $b_1 \ \&\& \ b_2$) with b_1 binding into b_2	binds $\mathcal{B}(b_1) \cup \mathcal{B}(b_2)$
		b_1 or b_2	disjunction (same as $b_1 \ \ b_2$)	binds $\mathcal{B}(b_1) \cap \mathcal{B}(b_2)$
		not b	negation	binds $\emptyset$

where p is a *pattern*, and where $\mathcal{B}$ means “bindings exported by”.

Note: in the paper, we describe a core construct named **switch**^{bbe} that suffices to encode the **and**, **or** and **not** constructs.

Syntax of patterns

$p :=$	$x^?$	pattern variable	binds $\{x\}$
	$p_1 \mid p_2$	pattern disjunction	binds $\mathcal{B}(p_1) \cap \mathcal{B}(p_2)$
	$p_1 \ \& \ p_2$	pattern intersection	binds $\mathcal{B}(p_1) \cup \mathcal{B}(p_2)$
	$p \ \mathbf{when} \ b$	guarded pattern	binds $\mathcal{B}(p) \cup \mathcal{B}(b)$
	$f \ (p_1, \dots, p_n)$	inversor pattern	binds $\bigcup_i \mathcal{B}(p_i)$
	g	predicate pattern	binds $\emptyset$
	with p binding into b		

where :

- ▶ f is a smart deconstructor of type: $T \rightarrow (T_1, \dots, T_n)$ option.
 $f \ (p_1, \dots, p_n)$ is equivalent to $x^? \ \mathbf{when} \ f \ (x) \ \mathbf{is} \ \mathbf{Some} \ (p_1, \dots, p_n)$.
- ▶ classic data constructors can be viewed as smart deconstructors
- ▶ g is a boolean function of type: $T \rightarrow \text{bool}$.
 g can be viewed as a particular case of a smart deconstructor.

Typing & Semantics

Typing judgments, Typing rules for terms and BBEs

- ▶ $E \vdash_{\text{trm}} t : T$
- ▶ E maps context variables to types
- ▶ $E \vdash_{\text{bbe}} b \rightsquigarrow B$
- ▶ B maps exported variables to types
- ▶ $E \vdash_{\text{pat}} p : T \rightsquigarrow B$
- ▶ Not checking for exhaustiveness

$$\frac{\forall i. E \vdash_{\text{trm}} c_i : T}{E \vdash_{\text{trm}} \mathbf{switch} \ c_1 \mid \dots \mid c_n : T}$$

$$\frac{E \vdash_{\text{bbe}} b \rightsquigarrow B \quad E; B \vdash_{\text{trm}} t : T}{E \vdash_{\text{trm}} \mathbf{case} \ b \ \mathbf{then} \ t : T}$$

$$\frac{E \vdash_{\text{trm}} t : T \quad E \vdash_{\text{pat}} p : T \rightsquigarrow B}{E \vdash_{\text{bbe}} t \ \mathbf{is} \ p \rightsquigarrow B}$$

$$\frac{E \vdash_{\text{bbe}} b_1 \rightsquigarrow B_1 \quad E, B_1 \vdash_{\text{bbe}} b_2 \rightsquigarrow B_2 \quad B_1 \# B_2}{E \vdash_{\text{bbe}} b_1 \ \mathbf{and} \ b_2 \rightsquigarrow B_1 \uplus B_2}$$

$$\frac{E \vdash_{\text{bbe}} b_1 \rightsquigarrow B \quad E \vdash_{\text{bbe}} b_2 \rightsquigarrow B}{E \vdash_{\text{bbe}} b_1 \ \mathbf{or} \ b_2 \rightsquigarrow B}$$

where $B_1 \# B_2$ asserts disjointness. We disallow shadowing in **and**.

The restrict construct

$$\frac{E \vdash_{\text{bbe}} b_1 \rightsquigarrow B \quad E \vdash_{\text{bbe}} b_2 \rightsquigarrow B}{E \vdash_{\text{bbe}} b_1 \text{ or } b_2 \rightsquigarrow B}$$

$$\frac{E \vdash_{\text{bbe}} b \rightsquigarrow B \quad V \subseteq \text{dom } B}{E \vdash_{\text{bbe}} (\text{restrict } V b) \rightsquigarrow B|_V}$$

The language construct **restrict** $V b$ reduces to V the set of bindings that are exported by the BBE b .

Occurrence of **restrict** can be automatically inserted during algorithmic typechecking: $b_1 \text{ or } b_2$ elaborates to $(\text{restrict } V b_1) \text{ or } (\text{restrict } V b_2)$, where V denotes the set $\mathcal{B}(b_1) \cap \mathcal{B}(b_2)$.

Likewise, we have **restrict** $V p$ for patterns.

Declarative typechecking rules involving disjunctions can assume that every branch binds the exact same set of variables.

Typing rules for patterns

Recall that $E \vdash_{\text{pat}} p : T \rightsquigarrow B$ means that p exports the bindings B .

$$\begin{array}{c}
 \frac{}{E \vdash_{\text{pat}} x^? : T \rightsquigarrow \{x : T\}} \\
 \\
 \frac{E \vdash_{\text{pat}} p_1 : T \rightsquigarrow B_1 \quad E \vdash_{\text{pat}} p_2 : T \rightsquigarrow B_2 \quad B_1 \# B_2}{E \vdash_{\text{pat}} (p_1 \ \& \ p_2) : T \rightsquigarrow B_1 \uplus B_2} \\
 \\
 \frac{E \vdash_{\text{pat}} p_1 : T \rightsquigarrow B \quad E \vdash_{\text{pat}} p_2 : T \rightsquigarrow B}{E \vdash_{\text{pat}} (p_1 \mid p_2) : T \rightsquigarrow B} \qquad \frac{\begin{array}{c} E \vdash_{\text{trm}} f : T \rightarrow (T_1, \dots, T_n) \text{ option} \\ \forall i. \ E \vdash_{\text{pat}} p_i : T_i \rightsquigarrow B_i \quad \forall i \neq j. \ B_i \# B_j \end{array}}{E \vdash_{\text{pat}} f(p_1, \dots, p_n) : T \rightsquigarrow \bigcup_i B_i} \\
 \\
 \frac{E \vdash_{\text{trm}} g : T \rightarrow \text{bool}}{E \vdash_{\text{pat}} g : T \rightsquigarrow \emptyset} \qquad \frac{E \vdash_{\text{pat}} p : T \rightsquigarrow B_1 \quad E, B_1 \vdash_{\text{bbe}} b \rightsquigarrow B_2 \quad B_1 \# B_2}{E \vdash_{\text{pat}} (p \ \mathbf{when} \ b) : T \rightsquigarrow B_1 \uplus B_2} \\
 \\
 \frac{E \vdash_{\text{pat}} p : T \rightsquigarrow B \quad V \subseteq \text{dom } B}{E \vdash_{\text{pat}} (\mathbf{restrict} \ V \ p) : T \rightsquigarrow B|_V}
 \end{array}$$

Evaluation judgments, rules for terms and BBEs

- ▶ $t \Downarrow_{\text{trm}} v$
- ▶ $b \Downarrow_{\text{bbe}} r$
- ▶ $v \triangleright p \Downarrow_{\text{pat}} r$
- ▶ $r := \text{Mismatch} \mid \text{Match } M$
- ▶ M maps variables to values
- ▶ We hide mutable store

$$\frac{b_1 \Downarrow_{\text{bbe}} \text{Mismatch} \quad \text{switch } c_2 \mid \dots \mid c_n \Downarrow_{\text{trm}} v}{\text{switch (case } b_1 \text{ then } t_1) \mid c_2 \mid \dots \mid c_n \Downarrow_{\text{trm}} v}$$

$$\frac{b_1 \Downarrow_{\text{bbe}} \text{Match } M_1 \quad \text{Subst}(M_1, t_1) \Downarrow_{\text{trm}} v}{\text{switch (case } b_1 \text{ then } t_1) \mid c_2 \mid \dots \mid c_n \Downarrow_{\text{trm}} v}$$

$$\frac{t \Downarrow v \quad v \triangleright p \Downarrow_{\text{pat}} r}{t \text{ is } p \Downarrow_{\text{bbe}} r}$$

$$\frac{b_1 \Downarrow_{\text{bbe}} \text{Mismatch}}{b_1 \text{ and } b_2 \Downarrow_{\text{bbe}} \text{Mismatch}}$$

$$\frac{b_1 \Downarrow_{\text{bbe}} \text{Match } M_1 \quad \text{Subst}(M_1, b_2) \Downarrow_{\text{bbe}} \text{Mismatch}}{b_1 \text{ and } b_2 \Downarrow_{\text{bbe}} \text{Mismatch}}$$

$$\frac{b_1 \Downarrow_{\text{bbe}} \text{Match } M_1 \quad \text{Subst}(M_1, b_2) \Downarrow_{\text{bbe}} \text{Match } M_2 \quad M_1 \# M_2}{b_1 \text{ and } b_2 \Downarrow_{\text{bbe}} \text{Match } (M_1 \uplus M_2)}$$

$$\frac{b_1 \Downarrow_{\text{bbe}} \text{Match } M_1}{b_1 \text{ or } b_2 \Downarrow_{\text{bbe}} \text{Match } M_1}$$

$$\frac{b_1 \Downarrow_{\text{bbe}} \text{Mismatch} \quad b_2 \Downarrow_{\text{bbe}} r}{b_1 \text{ or } b_2 \Downarrow_{\text{bbe}} r}$$

$$\frac{b \Downarrow_{\text{bbe}} r}{\text{restrict } V b \Downarrow_{\text{bbe}} r \mid V}$$

Evaluation rules for patterns

$v \triangleright p \Downarrow_{\text{pat}} r$ tests whether v matches p , returns Mismatch or Match M .

$$\frac{}{v \triangleright x^? \Downarrow_{\text{pat}} \text{Match } \{x \mapsto v\}}$$

$$\frac{v \triangleright p \Downarrow_{\text{pat}} \text{Mismatch}}{v \triangleright p \textbf{ when } b \Downarrow_{\text{pat}} \text{Mismatch}}$$

$$\frac{v \triangleright p \Downarrow_{\text{pat}} \text{Match } M \quad \text{Subst}(M, b) \Downarrow_{\text{bbe}} \text{Mismatch}}{v \triangleright p \textbf{ when } b \Downarrow_{\text{pat}} \text{Mismatch}}$$

$$\frac{v \triangleright p \Downarrow_{\text{pat}} \text{Match } M_1 \quad \text{Subst}(M_1, b) \Downarrow_{\text{bbe}} \text{Match } M_2 \quad M_1 \# M_2}{v \triangleright p \textbf{ when } b \Downarrow_{\text{pat}} \text{Match } (M_1 \uplus M_2)}$$

$$\frac{f(v) \Downarrow_{\text{trm}} \text{None}}{v \triangleright f(p_1, \dots, p_n) \Downarrow_{\text{pat}} \text{Mismatch}}$$

$$\frac{f(v) \Downarrow_{\text{trm}} \text{Some}(v_1, \dots, v_n) \quad \exists i. v_i \triangleright p_i \Downarrow_{\text{pat}} \text{Mismatch}}{v \triangleright f(p_1, \dots, p_n) \Downarrow_{\text{pat}} \text{Mismatch}}$$

$$\frac{f(v) \Downarrow_{\text{trm}} \text{Some}(v_1, \dots, v_n) \quad \forall i. v_i \triangleright p_i \Downarrow_{\text{pat}} \text{Match } M_i \quad \forall i \neq j. M_i \# M_j}{v \triangleright f(p_1, \dots, p_n) \Downarrow_{\text{pat}} \text{Match } (\bigcup_i M_i)}$$

$$\frac{g(v) \Downarrow_{\text{trm}} \text{false}}{v \triangleright g \Downarrow_{\text{pat}} \text{Mismatch}}$$

$$\frac{g(v) \Downarrow_{\text{trm}} \text{true}}{v \triangleright g \Downarrow_{\text{pat}} \text{Match } \emptyset}$$

$$\frac{v \triangleright p \Downarrow_{\text{pat}} r}{v \triangleright \textbf{ restrict } V p \Downarrow_{\text{pat}} r \mid V}$$

Type soundness theorem

Theorem (Preservation)

1. $(t \Downarrow_{trm} v) \wedge (\vdash_{trm} t : T) \Rightarrow (\vdash_{trm} v : T)$
2. $(b \Downarrow_{bbe} Match\ M) \wedge (\vdash_{bbe} b \rightsquigarrow B) \Rightarrow (\vdash_{map} M : B)$
3. $(v \triangleright p \Downarrow_{pat} Match\ M) \wedge (\vdash_{pat} p : T \rightsquigarrow B) \wedge (\vdash_{trm} v : T) \Rightarrow (\vdash_{map} M : B)$

where $\vdash_{map} M : B$ is defined as:

$$\text{dom } M = \text{dom } B \wedge \forall x \in \text{dom } M. \vdash_{trm} M(x) : B(x)$$

Future work: prove *progress*, unless exhausting the branches of a switch.

Future work: Rocq formalization.

Naive compilation scheme

Translation into a core λ -calculus

- ▶ $\llbracket t \rrbracket$ translate a term.
- ▶ $\llbracket b \rrbracket_{u'}^u$ translate a BBE with two continuations: u for success and u' for failure. The term u may refer to variables exported by b .
- ▶ $y \triangleright \llbracket p \rrbracket_{u'}^u$ is the compilation of the code testing a value y against a pattern p , again with success and failure continuations u and u' .

Key definitions:

$$\llbracket \text{switch (case } b \text{ then } t) \mid c_2 \mid \dots \mid c_n \rrbracket \equiv \llbracket b \rrbracket_{\llbracket \text{switch } c_2 \mid \dots \mid c_n \rrbracket}^{\llbracket t \rrbracket}$$

$$\llbracket t \text{ is } p \rrbracket_{u'}^u \equiv \text{let } y = \llbracket t \rrbracket \text{ in } y \triangleright \llbracket p \rrbracket_{u'}^u$$

$$\begin{aligned} y \triangleright \llbracket f(p_1, \dots, p_n) \rrbracket_{u'}^u &\equiv \text{match } \llbracket f \rrbracket y \text{ with} \\ &\quad \mid \text{Some } (x_1, \dots, x_n) \rightarrow \\ &\quad \quad \llbracket (x_1 \text{ is } p_1) \text{ and } \dots \text{ and } (x_n \text{ is } p_n) \rrbracket_{u'}^u \\ &\quad \mid - \rightarrow u' \end{aligned}$$

$$y \triangleright \llbracket p \text{ when } b \rrbracket_{u'}^u \equiv y \triangleright \llbracket p \rrbracket_{\llbracket b \rrbracket_{u'}^u}^{\llbracket b \rrbracket_{u'}^u}$$

Correctness of the translation

Current formalization simplified to deterministic, terminating programs.

Theorem (Compilation preserves the semantics)

1. $(t \Downarrow_{trm} v) \wedge (fv(t) = \emptyset)$
 $\Rightarrow \llbracket t \rrbracket \Downarrow_{ml} \llbracket v \rrbracket$
2. $(b \Downarrow_{bbe} r) \wedge (fv(b) = \emptyset) \wedge tr\text{-}cont(r, u, u', w) \wedge fv\text{-}cont(r, u, u')$
 $\Rightarrow \llbracket b \rrbracket_{u'}^u \Downarrow_{ml} w$
3. $(v \triangleright p \Downarrow_{pat} r) \wedge (fv(p) = \emptyset) \wedge tr\text{-}cont(r, u, u', w) \wedge fv\text{-}cont(r, u, u')$
 $\Rightarrow (\llbracket v \rrbracket \blacktriangleright \llbracket p \rrbracket_{u'}^u) \Downarrow_{ml} w.$

where:

$$tr\text{-}cont(r, u, u', w) := (\exists M. r = \text{Match } M \wedge \text{Subst}(\llbracket M \rrbracket, u) \Downarrow_{ml} w) \vee \\ (r = \text{Mismatch} \wedge u' \Downarrow_{ml} w)$$

$$fv\text{-}cont(r, u, u') := (fv(u') = \emptyset) \wedge (\forall M. r = \text{Match } M \Rightarrow fv(u) \subseteq \text{dom } M)$$

Conclusion & Future work

Conclusion & Future work

Formalization in Rocq would be nice.

Implementation:

- ▶ to be integrated into the OptiTrust framework for source-to-source transformation; specifying which rewrite rules preserve the semantics
- ▶ perhaps also as a standalone pre-processor for OCaml?
- ▶ or maybe even as a conservative language extension?

Extensions:

- ▶ Interaction with exit-block construct, to support a backtracking switch construct (like e.g. in Prolog/LTac)
- ▶ Are all other practical programming patterns covered?